

# A Photometrically and Spectroscopically Confirmed Population of Passive Spiral Galaxies

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## ABSTRACT

We have identified a population of passive spiral galaxies from photometry and integral field spectroscopy. We selected  $z < 0.035$  spiral galaxies that have *WISE* colours consistent with little mid-infrared emission from warm dust. Matched aperture photometry of 51 spiral galaxies in ultraviolet, optical and mid-infrared show these galaxies have colours consistent with passive galaxies. Six galaxies form a spectroscopic pilot study and were observed using the Wide-Field Spectrograph (WiFeS) to check for signs of nebular emission from star formation. We see no evidence of substantial nebular emission found in previous red spiral samples. These six galaxies possess absorption-line spectra with 4000Å breaks consistent with an average luminosity-weighted age of 2.3 Gyr. Our photometric and IFU spectroscopic observations confirm the existence of a population of local passive spiral galaxies, implying that transformation into early-type morphologies is not required for the quenching of star formation.

**Key words:** galaxies: evolution – galaxies: general – galaxies: stellar content – galaxies: spiral

## 1 INTRODUCTION

The correlation between galaxy morphology and star formation rate is well established (e.g., Tully et al. 1982), and this has been reinforced by Sloan Digital Sky Survey (SDSS) studies of the relationship between galaxy colour bimodality and morphology (e.g., Baldry et al. 2004). However, these results can lead to the misinterpretation that all spiral galaxies are star forming (e.g., Faber et al. 2007). This is not the case, as the existence of anaemic disk galaxies with red optical colours associated with little or no star formation has been known for decades (e.g., van den Bergh 1976; Poggianti et al. 1999; Bundy et al. 2010; Masters et al. 2010, and references therein), though just how passive these galaxies are when examined in ultraviolet (UV) or infrared (IR) wavelengths is unclear (e.g., Cortese 2012). Given there exist mechanisms for quenching star formation that act with little disturbance to morphology of a galaxy, for example, ram pressure stripping and strangulation (e.g. Bekki et al. 2002; Goto et al. 2003; Bundy et al. 2010; Tojeiro et al. 2013), it is odd we

do not yet have a consensus on passive spiral properties as a population.

Confusingly, the terms ‘red’ and ‘passive’ spiral are often used interchangeably in the literature to describe a spiral galaxy that is optically red. Optically red galaxies can be passive, but they can also have low rates of star formation or suffer from dust-obscured star formation. Spectroscopy typically reveals red spiral galaxies have nebular line emission resulting from low levels of star formation (e.g. Ishigaki et al. 2007). In this letter we will define a ‘red spiral’ as a galaxy with red optical colours, even if UV and mid-IR photometry show evidence of star formation. We will use the term ‘passive spiral’ to describe galaxies that also possess red optical colours, but lack evidence of star formation in multi-wavelength photometry and spectroscopy.

The selection criteria employed to find and classify red (and potentially passive) spiral samples are critical; an optical colour cut or the inclusion of edge-on spirals often results in red galaxies with high levels of dust obscuration or small (but significant) star formation (e.g. Gallazzi et al. 2009; Wolf et al. 2009; Rowlands et al. 2012; Dolley et al. 2014). Moving beyond optical wavelengths can improve sam-

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ple selection and characterisation, and an IR colour cut can differentiate between dusty star forming galaxies and truly passive populations. The anaemic spirals of [van den Bergh \(1976\)](#), for example, show a range of mid-IR colour. [Bundy et al. \(2010\)](#), used an  $R - J$  colour cut to define a  $0.2 < z < 1.2$  passive spiral sample, although this sample lacks spectroscopic confirmation. Ideally, photometry should be used in tandem with spectroscopic observations to determine whether truly passive galaxies are being observed. Unfortunately, this may not be possible with low redshift galaxy surveys using single-fibre spectroscopy, as the small fibre size generally captures just the light of a galaxy bulge, missing the potentially star-forming outskirts (e.g. [Ishigaki et al. 2007](#)).

Given these limitations, there have been some spread of conclusions on red spiral star formation rates (SFRs) in the literature. While passive spiral candidates have been found in the IR ([Bundy et al. 2010](#)), optically-defined samples of red spiral galaxies often have  $H\alpha$  emission (e.g., [Goto et al. 2003](#); [Ishigaki et al. 2007](#); [Masters et al. 2010](#)), which may be attributed to star formation, AGNs or LINER activity. The results of these studies lead us to question whether passive spirals can even exist in the local Universe.

With this in mind, the aim of this letter is to demonstrate conclusively whether passive disk galaxies exist in the local Universe. We have compiled an IR selected sample of passive spiral galaxy candidates, and searched for evidence of star formation using UV, optical and mid-IR photometry. We have then searched for nebular emission and measured luminosity weighted ages for these galaxies using IFU spectra. This letter is organised as follows: in Sec. 2 we define our IR-selected passive spiral galaxy candidates, in Sec. 3 we use multi-wavelength photometry to confirm whether they are truly passive. In Sec. 4 we describe a pilot sample of six passive spiral galaxy candidates with integral field spectroscopy (IFS), and search for optical emission lines from these galaxies. Throughout this letter we use AB magnitudes and a flat  $\Lambda$ CDM cosmology, with  $\Omega_m = 0.3$ ,  $\Omega_\Lambda = 0.7$  and  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ .

## 2 SAMPLE

We define two samples of passive spiral galaxy candidates, which partially overlap. We define a photometric sample of 51 galaxies that are located within the SDSS DR10 footprint, which have SDSS imaging to confirm morphologies and optical colours, some examples of which are shown in Fig. 1. A pilot spectroscopic sample of six galaxies have declinations accessible to the ANU 2.3m telescope at Siding Spring Observatory, and in some instances only Digitised Sky Survey (DSS) photographic plate scans were available to visually confirm morphological type.

For both samples, we select galaxies from the parent catalogue of [Bonne et al. \(2015\)](#), which is an all-sky sample of 13,325 local Universe galaxies drawn from the 2MASS Extended Source Catalogue. This catalogue has a redshift and morphological completeness of 99% down to  $K = 12.59$ , with the majority of morphologies coming from the PGC catalogue ([Paturel et al. 2003](#)). For further details we refer the reader to [Bonne et al. \(2015\)](#). We select galaxies with  $1 < \text{T-type} < 8$ , and to search for the most passive galaxies

we make the colour cut  $K - W3 > -2.73$ , where  $K$  and  $W3$  are 2MASS  $K$ -band and *WISE*  $12\mu\text{m}$  absolute magnitudes respectively. This cut corresponds to galaxies with a specific star formation rate (sSFR)  $< 10^{-11.7} \text{ yr}^{-1}$  ([Dolley et al., in prep](#)) based on the  $W3$  SFR calibration of [Cluver et al. \(2014\)](#) and NASA Sloan Atlas<sup>1</sup> stellar masses. We classify any galaxies with an sSFR lower than this value as passive spiral candidates. The morphological T-types from [Bonne et al. \(2015\)](#) were found to be partially contaminated by lenticular and elliptical galaxies on visual inspection, so for our photometric sample we include only galaxies within the SDSS DR10 imaging regions. For both samples, we classify red spirals by eye, rejecting any edge on galaxies, those without obvious spiral arms, and two galaxies that appear optically blue, likely due to errors in 2MASS or *WISE* photometry. The quality of the SDSS images makes spiral identification straightforward, although the photographic plate images are more ambiguous.

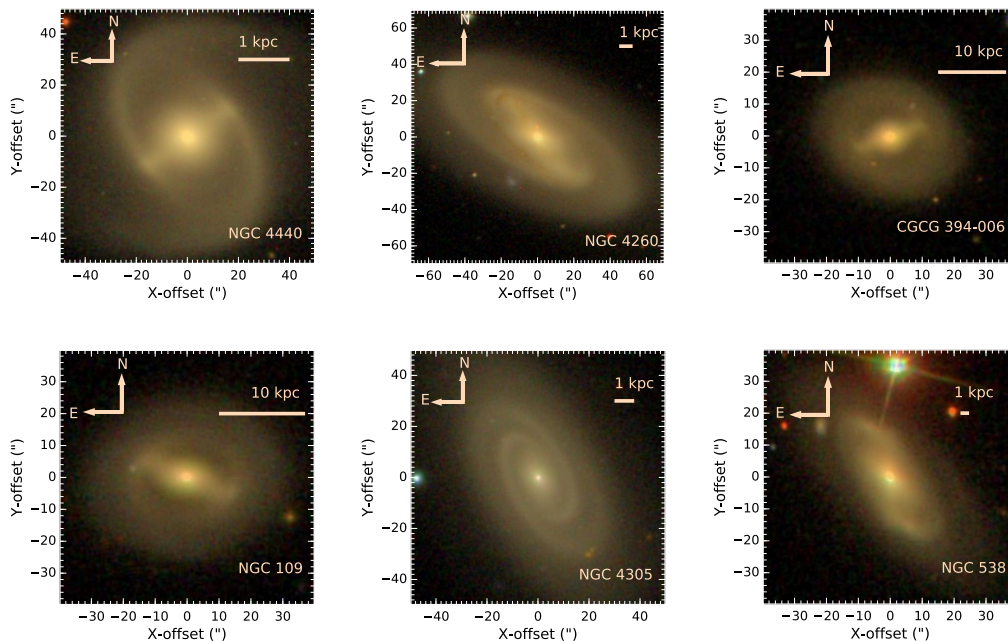
There are a total of 51 galaxies in the photometric sample in the range  $0.002 < z < 0.035$ , with  $r$ -band magnitudes  $14.14 < r < 11.42$ , average stellar mass  $5 \times 10^{10} \text{ M}_\odot$ , and SDSS, *WISE*, and frequently GALEX photometry. The spectroscopic sample have mean  $z = 0.02$ , median stellar mass of  $5.3 \times 10^{10} \text{ M}_\odot$  and an average SDSS exponential fit radius of  $12''$ . The declinations of these six galaxies prevent them from all having SDSS or GALEX coverage.

## 3 PHOTOMETRIC ANALYSIS

We perform UV, optical and mid-IR matched-aperture photometry on our photometric sample of passive galaxy candidates to search for signs of star formation. For each galaxy, the aperture is an ellipse that encloses the galaxy light down to a threshold of  $25 \text{ mag arcsec}^{-2}$  in the SDSS  $r$  band. We measure photometry in SDSS  $u, g, r, i, z$  and GALEX  $NUV$  and  $FUV$  bands from images provided by the NASA Sloan Atlas, the results of which are presented in Table 1. These images have already been background subtracted, though we still use 10 background regions of the same size as the galaxy aperture and mask obvious UV and optical sources within the galaxy aperture. Mid-IR photometry was measured from the *All-WISE* Image Atlas in the 3.4, 4.6, 12 and  $22 \mu\text{m}$  passbands (bands  $W1$ - $W4$ ) using the same background subtraction method as for the UV and optical bands. The point spread function at all wavelengths is much smaller than the angular size of the galaxies, so the seeing in different passbands is not an issue. A Milky Way foreground dust correction was performed using the dust maps of [Schlafly & Finkbeiner \(2011\)](#) for SDSS and [Yuan et al. \(2013\)](#) for the UV using a reddening coefficient of  $R = 3.1$ .

In Fig. 2 we present colour-colour and colour-magnitude plots of our sample. The left panel shows the mid-IR colour-colour diagram of passive spiral galaxy candidates in our photometric sample, the six galaxies with WiFeS IFS observations, and for comparison, the sample of nearby galaxies from [Brown et al. \(2014\)](#), with accurate multi-wavelength photometry spanning a broad range of galaxy types and SFRs. The passive spiral candidate sample clearly sits in

<sup>1</sup> [www.nsatlas.org](http://www.nsatlas.org)



**Figure 1.** SDSS colour images of some of the passive spiral galaxy candidates in our sample. CGCG 394-006 and NGC 538 are also part of the spectroscopic sample observed for this work using the WiFeS IFS.

the passive region of the diagram, where we would usually expect ellipticals to lie. This is not surprising since these galaxies are selected from mid-IR photometry, but confirms these galaxies are consistent with passive galaxies at mid-IR wavelengths. We compare the *WISE* photometry of our passive spiral candidates with archival photometry of red spiral samples from the literature. Our galaxies have a typical  $W2 - W3$  colour of -1, with only one galaxy with  $W2 - W3 > 0$ . The 364 galaxies with  $z < 0.035$  in the Masters et al. (2010) sample have typical colour of  $W2 - W3 \sim 1.5$ , with just one galaxy with  $W2 - W3 < 0$ . The van den Bergh (1976) anaemic spirals span a range of colour, from  $-1 < W2 - W3 < 2$ , some of which will be truly passive galaxies, and some with star formation. We conclude that overall, our sample has far less mid-IR emission from warm dust than previous samples of red spiral galaxies.

The centre panel of Fig. 2 is a UV colour-colour diagram. Unfortunately, only two of the passive spiral galaxy candidates with WiFeS spectroscopy have GALEX *NUV* and *FUV* photometry, although our entire photometric sample lies in the passive region of the diagram. Cortese (2012) found that 94% of the Masters et al. (2010) red spiral sample had  $NUV - r < 4$  (the typical value assumed for actively star forming galaxies), while all of our passive spiral sample are redder than this value, with typical  $NUV - r \sim 5.5$ , consistent with an old stellar population (e.g. Crossett et al. 2014, and references therein).

In the right panel of Fig. 2 we present an optical colour-magnitude diagram of the passive spiral galaxy candidates, and the three galaxies with WiFeS spectroscopy and SDSS photometry. All of the passive spiral galaxy candidates are on the red sequence. From our photometry, we have confirmed that our sample of passive spiral galaxy candidates do possess the multi-wavelength colours of passive galaxies.

#### 4 SPECTROSCOPIC OBSERVATIONS AND ANALYSIS

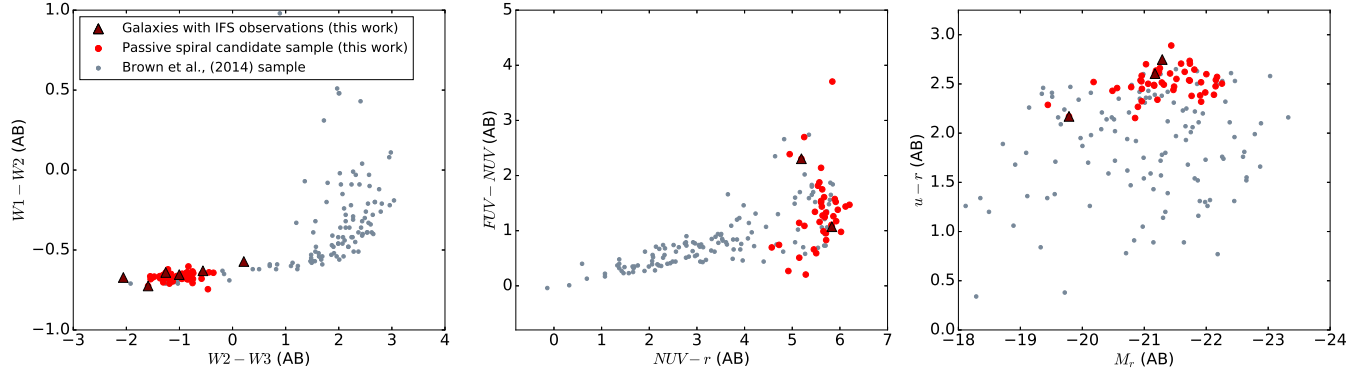
In order to investigate whether emission lines are present despite a lack of photometric evidence of any star formation, we undertook a pilot program of IFS observations of six passive spiral galaxy candidates. Due to observing scheduling constraints, we observed three galaxies with SDSS coverage and three southern-sky galaxies where DSS images were sufficient to visually confirm spiral morphology.

Observations were taken on 2015 December 15th-18th and 2016 March 11th-12th using the Wide Field Spectrograph (WiFeS; Dopita et al. 2007, 2010) on the Australian National University's 2.3m telescope at Siding Spring Observatory. The WiFeS IFS has a  $25'' \times 38''$  field of view, which corresponds to  $\sim 10 \times 16$  kpc at our mean redshift of  $z \sim 0.02$ , and  $1'' \times 1''$  spaxels (if  $2 \times 1$  binning is employed in the y-direction) provide  $\sim 0.4$  kpc spatial resolution. The B3000 ( $\sim 3500$ - $5800 \text{ \AA}$ ) and R3000 ( $\sim 5300$ - $9000 \text{ \AA}$ ) gratings were used along with the RT560 dichroic, resulting in a spectral resolution of  $\sigma \sim 100 \text{ km s}^{-1}$  across the entire wavelength range. The instrument was used in nod-and-shuffle mode, with an average seeing of  $1.8''$  throughout both runs. The data were reduced in the standard manner using the PYWIFES reduction pipeline of Childress et al. (2014).

In Fig. 3 we plot the integrated spectra of the galaxies with the  $H\alpha$  wavelength range highlighted in yellow. In each case, the data were Voronoi binned using the method of Cappellari & Copin (2003) to achieve adequate S:N per bin, and the stellar kinematics determined using the penalised pixel fitting method (PPXF) of Cappellari & Emsellem (2004). To create the integrated spectrum, each Voronoi bin was velocity-shifted to that of the central spaxel, then stacked. All six galaxies show absorption line spectra, with deep

**Table 1.** GALEX, SDSS and WISE photometry of the passive spiral galaxy candidates in our sample (full table available online). We do not include W4 photometry here, as a large number of our galaxies do not have significant detections in this band.

Galaxy	RA (J2000)	Dec (J2000)	T-type	FUV	NUV	u	g	r	i	z	W1	W2	W3
NGC0015	2.26031	21.62449	1	–	18.76	16.17	14.34	13.46	13.02	12.69	12.99	13.67	14.61
NGC0109	6.56101	21.80736	1	19.17	18.66	15.74	14.16	13.35	12.91	12.61	13.33	13.97	15.18
PGC001683	6.83343	-4.89138	5	20.89	19.63	16.22	14.44	13.64	13.21	12.94	13.09	13.77	14.62
IC0022	7.38824	-9.08078	3	21.22	19.34	16.24	14.44	13.64	13.24	12.96	13.40	14.06	15.53
NGC0345	15.34209	-6.88427	1	20.49	19.37	15.94	14.04	13.15	12.69	12.38	12.75	13.43	14.97

**Figure 2.** Colour-colour and colour-magnitude photometry plots of passive spiral galaxy candidates in the mid-IR, UV and optical, with the comparison galaxy sample of Brown et al. (2014). In all three panels, our sample lie in the regions usually occupied by passive elliptical galaxies.**Table 2.** IFS observations of six passive spiral galaxy candidates taken 2015 December 15th-18th and 2016 March 11th-12th. These six galaxies show absorption line spectra, with  $D_{4000}$  values consistent with those of galaxies dominated by old stellar populations.

Galaxy	RA (J2000)	Dec (J2000)	$z$	Obs Date	Exp Time (s)	$D_{4000}^1$	Age <sup>2</sup> (Gyr)
NGC 538	01:25:26.0	-01:33:02	0.0183	Dec 2015	3200	1.69	2.50
IC 375	04:31:03.1	-12:58:26	0.0351	Dec 2015	4800	1.67	2.40
MCG-02-12-043	04:35:11.1	-13:14:40	0.0353	Dec 2015	4500	1.54	1.43
CGCG 394-006	04:46:25.6	00:21:59	0.0233	Dec 2015	4200	1.80	3.25
NGC 4305	12:22:03.6	12:44:27	0.0063	Mar 2016	4500	1.50	1.02
NGC 4794	12:55:10.5	-12:36:30	0.0132	Mar 2016	2100	1.74	3.00

<sup>1</sup>Using the narrow  $D_{4000}$  definition of Balogh et al. (1999).<sup>2</sup>Based on Bruzual & Charlot (2003) models with Solar metallicity and a Chabrier (2003) IMF.

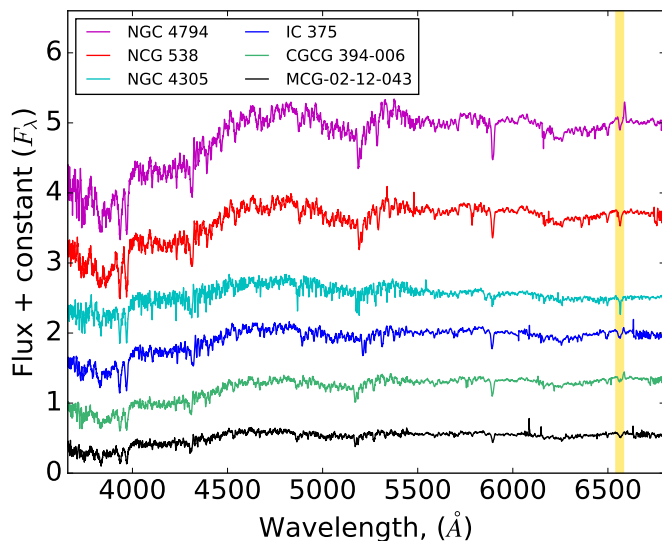
Balmer lines. We fit and subtract a stellar continuum using PPXF for each integrated spectrum, and simultaneously measure any emission line flux by fitting single Gaussian profiles to emission line regions. To estimate the error on any  $H\alpha$  detected, we perform 100 Monte Carlo realisations of the best fit to the integrated spectrum with random noise of the order of spectral variance added. An error measurement is calculated from the standard deviation of the subsequent  $H\alpha$  measurements. For four of the six galaxies, the measured value of any  $H\alpha$  is less than the estimated error in this measurement and we conclude their spectra are consistent with being passive. For the remaining two, IC 375 and CGCG 394-006, the  $H\alpha$  measurement is small but significant, though even if we assume all  $H\alpha$  is the result of star formation, in both cases the  $H\alpha$ -derived SFR is  $< 0.07 M_{\odot} \text{ yr}^{-1}$  across the entire galaxy using the relation of Richards et al. (2016). From the nebular  $H\alpha$  emission line measurements, we conclude there is little or no star formation within these galaxies. Further work to resolve spatial properties will show any radial variation across these galaxies.

To confirm these galaxies are not post-starbursts, we

measured  $H\delta$  equivalent widths using the narrow definition of Worthey & Ottaviani (1997). Equivalent widths were  $H\delta < 1 \text{ \AA}$  for all six galaxies, well below that required for a galaxy to be classified as post-starburst (e.g. Zabludoff et al. 1996; Goto 2005). This implies the bulk of star formation in these galaxies was not rapidly truncated in the last Gyr.

We measured the 4000  $\text{\AA}$  break ( $D_{4000}$ ) of the integrated spectra following the procedure outlined by Balogh et al. (1999). The  $D_{4000}$  values for all six galaxies, listed in Table 2, lie in the range of the Masters et al. (2010) red spiral galaxies sample. We compared our  $D_{4000}$  values to that of the single stellar population models of Bruzual & Charlot (2003). Using Padova 1994 evolutionary tracks with a Chabrier (2003) IMF and Solar metallicity we find the average luminosity-weighted age of our six red spirals is  $\sim 2.3$  Gyr, which is younger than that expected for a passive elliptical galaxy (e.g. Poggianti & Barbaro 1997), though older than a star-forming spiral. The  $D_{4000}$  break values (and hence the ages) are similar to the red spiral galaxies of Masters et al. (2010), so while our galaxies are passive now, they may have had some low level star formation recently.





**Figure 3.** The integrated spectra of the six passive spiral galaxy candidates observed for this work, shifted to rest frame.  $H\alpha$  regions are highlighted in yellow. Deep Balmer absorption lines are observed in all six galaxies, and moderate  $D_{4000}$  breaks lead us to classify these spectra as being from ageing stellar populations with little or no star formation.

## 5 SUMMARY & CONCLUSIONS

We have identified passive spiral galaxies in the nearby Universe and characterised their properties with multi-wavelength photometry and IFU spectroscopy. Matched-aperture photometry in UV, optical and mid-IR wavebands of a sample of 51 galaxies is consistent with a passive stellar population, and SDSS imaging confirming spiral morphologies. IFS of a pilot spectroscopic sample of six galaxies confirm an old stellar population dominates across both the disk and nuclear regions of the galaxies, with little or no  $H\alpha$  emission.  $D_{4000}$  values imply the average luminosity-weighted age for our spiral galaxies is  $\sim 2.3$  Gyr. Analysis of the kinematics, morphologies and environments of these galaxies will be presented in a forthcoming work.

Compared to previous samples of optically-red spiral galaxies, we have negligible contamination from star-forming galaxies with  $H\alpha$  nebular emission, mid-IR emission from warm dust nor UV emission from young stars. Our sample has spiral morphologies, but multi-wavelength photometry and an absence of nebular emission are consistent with them being passive. We conclude a sample of passive spiral galaxies exist, and that quenching of star formation can occur without transformation into early-type morphologies.

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